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UTILITY

# PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 02-060 First Inventor or Application Identifier

BRUSH HOLDING DEVICE CAPABLE OF

Date

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YAMADA et al.

**REDUCING NOISE** 

Express Mail Label No. (Only for new nonprovisional applications under 37 C.F.R.§ 1.53(b)) Assistant Commissioner for Patents **Box Patent Application** APPLICATION ELEMENTS ADDRESS TO: Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 5. Microfiche Computer Program (Appendix) X Nucleotide and/or Amino Acid Sequence Submission Total Pages 2. X Specification 6. (if applicable, all necessary) a. Computer Readable Copy Descriptive title of the Invention Statement verifying identity of above copies OMPANYING APPLICATION b. -Cross Reference to Related Applications C. -Background of the Invention **ACCOMPANYING APPLICATION PARTS** -Summary of the Invention Brief Description of the Drawings Assignment Papers (cover sheet & document(s)) } 7. X 37 C.F.R.§ 3.73(b) Statement (*when there is an assignee*) -Detailed Description of the Preferred Embodiment -Claims Power of Attorney 8. -Abstract of the Disclosure 9. English Translation Document (if applicable) Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Χ X 9 3. Drawing(s) (35 U.S.C. 113) 10. [Total Sheets 1 Citations 1 11. Oath or Declaration 4 Preliminary Amendment [Total Sheets Return Receipt Postcard (MPEP 503) (should be specifically itemized) X Χ Newly executed (original or copy) 12. Small Entity Copy from a prior application (37 C.F.R. .§ 1.63 (d)) Statement filed in prior application, Statement(s) 13. (for continuation/divisional with Box 16 completed (PTO/SB/09-12) Status still proper and desired Certified Copy of Priority Document(s) (if foreign priority is claimed) DELETION OF INVENTOR(S)
Signed statement attached deleting X 14. inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 15 Other: ..... "NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTIT FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28) 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: Group/Art Unit: Prior application information: Examiner\_ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS or Correspondence address below X Customer Number or Bar Code Laber (Insert Custo bar code label Name PATENT\_TRADEMARK OFFICE Address Zip Code City State Fax Country Telephone (202) 416-1638 (202) 416-1639 Name (Print/type) Registration No. (Attorney/Agent) **DAVID G. POSZ** 37,701

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are feguired to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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## FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

(\$) 750

TOTAL AMOUNT OF PAYMENT

Complete if Known				
Application Number				
Filing Date	August 29, 2001			
First Named Inventor	YAMADA et al.			
Examiner Name				
Group/Art Unit				
Attorney Docket No.	02-060			

METHOD OF PAYMENT (check one)	FEI			FEE	CALCULATION (continued)		
The Commissioner is hereby authorized to charge indicated	3. A	DDITI	ONAL	FEES			
fees and credit any overpayments to:	Large E		Small E		Fee Description	Fee Paid	
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)		· · · · · · · · ·	
Account Number 50-1147	105	``130	205	65	Surcharge - late filing fee or oath	1	
	127	50	227	25	Surcharge - late provisional filing fee or		
Deposit	120	120	400	120	cover sheet.	ļ i	
Account Name LAW OFFICE OF DAVID G. POSZ	139	130	139	130	Non-English specification		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		2,520	147	2,520	For filing a request for reexamination		
2. X Payment Enclosed:		920*	112	920*	Requesting publication of SIR prior to Examiner action		
Check Money Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION		110	215	55	Extension for reply within first month		
1. BASIC FILING FEE	116	390	216	195	Extension for reply within second month		
Large Entity Small Entity	117	890	217	445	Extension for reply within third month		
Fee Fee Fee Fee Description	118	1,390	218	695	Extension for reply within fourth month		
Code (\$) Code (\$) Fee Paid  101 710 201 355 Utility filling fee 710	128	1,890	228	945	Extension for reply within fifth month		
106 320 206 160 Design filing fee	119	310	219	155	Notice of Appeal		
107 490 207 245 Plant filing fee	120	310	220	155	Filing a brief in support of an appeal		
108 710 208 355 Reissue filing fee	121	270	221	135	Request for oral hearing		
114 150 214 75 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		
CURTOTAL (4)	140	110	240	55	Petition to revive – unavoidable		
SUBTOTAL (1) (\$) 710	141	1,240	241	620	Petition to revive – unintentional		
2. EXTRA CLAIM FEES Fee from	142	1,240	242	620	Utility issue fee (or reissue)	<u> </u>	
Extra Claims Below Fee Paid	143	440	243	220	Design issue fee		
Total Claims 12 -20**= 0 × 18 =	144	600	244	300	Plant issue fee		
Independent Claims 1 - 3**= 0 × 80 =	122	130	122	130	Petitions to the Commissioner		
Multiple Dependent	123	50	123	50	Petitions related to provisional applications	<b>!</b>	
**or number previously paid, if greater; For Reissues, see below	126	240	126	240	Submission of information Disclosure Stmt		
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	40	
103 18 203 9 Claims in excess of 20	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
102 80 202 40 Independent claims in excess of 3	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		
104 270 204 135 Multiple dependent claim, if not paid					, J		
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tan to oto o **Polesua daime in excess of 20		ee (spec	ify)				
SUBTOTAL (2) (\$) 0	*Reduc	ed by Ba	asic Filin	g Fee P	aid SUBTOTAL (3) (\$) 40		

SUBMITTED BY			Complete (if ap	oplicable)
Name (Print/Type)	DAVID G. POSZ	Registration No. (Attorney/Agent) 37,701	Telephone	(202) 416-1638
Signature	TEN 18	<b>S</b>	Date	8.79.01

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#### DAVID G. POSZ

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SPECIALIZING IN PATENTS, TRADEMARKS & COPYRIGHTS

DAVID G. POSZ KERRY S. CULPEPPER \* \* ADMITTED IN VA ONLY

August 29, 2001

(202) 416-1638 FAX (202) 416-1639 POSZLAW.COM

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

#### **OIPE FILING CERTIFICATE**

Applicant:

YAMADA et al.

For:

BRUSH HOLDING DEVICE CAPABLE OF REDUCING NOISE

Docket:

02-060

Attorney:

David G. Posz

**Date of Deposit:** 

August 29, 2001

I hereby certify that this certificate and the following documents are being hand delivered to, and deposited with, the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on the aboveindicated date, and are addressed to the Commissioner of Patents and Trademarks/Assistant Commissioner for Patents, Washington, D.C., 20231:

- return receipt postcard;
- check for \$750 for filing fee and assignment recordation;
- transmittal form (2 copies);
- fee calculation form (2 copies);
- 23 page specification (12 numbered claims);
- 9 sheets of formal drawings;
- executed declaration and power of attorney;
- executed assignment and recordation cover sheet;
- IDS and copies of 1 cited reference; and
- 1 certified copy(ies) of priority document(s) (JP 2000-268959).

David G. Posz Attorney For Applicant

Reg. No. 37,701

PATENT AI	PPLICATION	SERIAL	NO.		

### U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

08/30/2001 SSITHIB1 00000072 09940719 01 FC:101 710.00 OP

PTO-1556 (5/87)